

GB-rev.1

# PF-PP-K

Filter Cartridges | Validation Guide



## **Ultrafilter GmbH Headquarters**











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# INTRODUCTION

Ultrafilter PF-PP-K series cartridge filters contain 0.2  $\mu$ m, 0.5  $\mu$ m, 1.0  $\mu$ m, 2.0  $\mu$ m, 5.0  $\mu$ m, 10  $\mu$ m, 20  $\mu$ m, 40  $\mu$ m or 70  $\mu$ m polypropylene (PP) membrane, which are designed for different filtration in food and beverage industry. The purpose of this report is to summarize tests done to qualify the performance of Ultrafilter PF-PP-K series cartridge filters under laboratory conditions.

# **Quality Assurance**

Ultrafilter GmbH establishes and continuously maintains the company's quality assurance system in accordance with the requirements of ISO 9001:2015 quality management system. All the products are manufactured under strict quality system to ensure stable and reliable quality.

#### Raw Material Control

Ultrafilter GmbH has established a rigorous supplier selection and periodic evaluation system. The core materials are selected from internationally renowned raw material suppliers and manage the suppliers hierarchically. The injection molding pellets, support layers, O-rings and other materials or components are inspected according to the company's internal control standards to ensure the quality of raw materials is stable and reliable.

# **Environment Management**

The entire manufacturing process of the Ultrafilter filter from raw material storage to product packaging is completed in the ISO Class 8 clean area, which ensures the cleanliness of the product and prevents pollution. The air purification system is confirmed and maintained regularly to ensure that the cleanliness of environment continuously meets the requirements of ISO Class 8. The suspended particles, sedimentation bacteria, temperature, humidity and differential pressure are monitored periodically to provide for a highly controlled clean environment.

# Lot Release and Traceability

The sterilizing grade Ultrafilter filter requires 100% integrity testing before delivery. The test methods include bubble point, diffusion flow and water intrusion. The specific test method can be found in the integrity test section of the quality certificate.

The product lot number and serial number of Ultrafilter filter are engraved on the cartridge cage. Customers can also find the corresponding product lot number in the product label and quality certificate. The product can be traced from the whole process of raw materials, equipment, manufacturing process through the lot number and serial number.



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# **PRODUCT SPECIFICATIONS**

CATALOGUE NUMBERS DESCRIPTION									
PF-PP	-K								
	POF	RE SIZE	FILTER LENGTH	C	ARTRIDGE ADAPT	ER TYPE	ı	SEAL MATERIAL	PHARMA GRADE
	P2 =	0.2 μm	05 = 5"		2 = Code 2		,	A = EPDM	-V
	P5 =	0.5 μm	10 = 10"		3 = Code 3		В	s = Silicone	
	01 =	1.0 µm	20 = 20"		7 = Code 7		G = Fl	uoroelastomer	
	02 =	2.0 µm	30 = 30"		8 = Code 8				
	05 =	5.0 μm	40 = 40"		MF = D0E				
	10 =	10.0 µm			UF = UF				
	20 =	20.0 μm							
	40 =	40.0 μm							
	70 =	70.0 µm							
xample		Filter-Ty	pe Pore size		Filter Lenght	Connectio	n Type	Sealing	Pharma Grade
art Numl	ber:	PF-PP-I	ζ 45		30	7		-В	-V



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SPECIFICATIONS					
FILTER	PF-PP-K 0.2 μm PF-PP-K 0.5 μ				
LENGTH	254.0 MM	(10 INCH)			
DIAMETER	71.0 MM	(2.7 INCH)			
MATERIALS OF CONSTRUCTION					
FILTER MEMBRANE	POLYPROP	YLENE (PP)			
SUPPORTS	POLYPRO	DPYLENE			
CORE, CAGE, END CAPS	POLYPRO	DPYLENE			
ADAPTER INTERNAL SUPPORT	STAINLESS ST	EEL 316L, PBT			
0-RINGS	SILICONE, EPDM, FLUOROELASTOMER				
PORE SIZE	0.2 μm	0.5 µm			
EFFECTIVE FILTRATION AREA	0.17 M² (1.8 FT²)	0.26 M² (2.8 FT²)			
MAXIMUM OPERATING PRES- SURE		PSI) AT 25 °C PSI) AT 80 °C			
MAXIMUM DIFFERENTIAL PRESSURE					
FORWARD		PSI) AT 25 °C PSI) AT 80 °C			
REVERSE		PSI) AT 25 °C PSI) AT 80 °C			
STERILIZATION					
STEAM IN PLACE	CAN BE STEAM STERILIZED 20 CYCLES FOR 30 MINUTES AT 125 °C (< 0.3 BAR, 5 PSI).				
HOT WATER SANITIZATION	CAN BE HOT WATER SANITIZED FOR 30 MINUTES AT 85 °C WITH 50 CYCLES.				
INDIRECT FOOD ADDITIVE	ALL COMPONENT MATERIALS MEET THE FDA INDIRECT FOOD ADDITIVE REQUIREMENTS CITED IN 21 CFR 177–182.				
QUALITY ASSURANCE	THESE PRODUCTS ARE MANUFACTURED IN A FACILITY WHICH ADHERES TO ISO 9001:2015 PRACTICES.				



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SPECIFICATIONS					
FILTER	PF-PP-K 1.0 μm PF-PP-K 2.0 μ				
LENGTH	254.0 MM (10 INCH)				
DIAMETER	71.0 MM (	2.7 INCH)			
MATERIALS OF CONSTRUCTION					
FILTER MEMBRANE	POLYPROP	YLENE (PP)			
SUPPORTS	POLYPRO	PYLENE			
CORE, CAGE, END CAPS	POLYPRO	PYLENE			
ADAPTER INTERNAL SUPPORT	STAINLESS ST	EEL 316L, PBT			
0-RINGS	SILICONE, EPDM, FLUOROELASTOMER				
PORE SIZE	1.0 µm	2.0 µm			
EFFECTIVE FILTRATION AREA	0.24 M² (2.6 FT²)	0.26 M² (2.8 FT²)			
MAXIMUM OPERATING PRES- SURE	6.9 BAR (100 2.4 BAR (35	PSI) AT 25 °C PSI) AT 80 °C			
MAXIMUM DIFFERENTIAL PRESSURE					
FORWARD	6.9 BAR (100 2.4 BAR (35 l	PSI) AT 25 °C PSI) AT 80 °C			
REVERSE	3.0 BAR (44 1.0 BAR (15	PSI) AT 25 °C PSI) AT 80 °C			
STERILIZATION					
STEAM IN PLACE	CAN BE STEAM STERILIZED 20 CYCLES FOR 30 MINUTES AT 125 °C (< 0.3 BAR, 5 PSI).				
HOT WATER SANITIZATION	CAN BE HOT WATER SANITIZED FOR 30 MINUTES AT 85 °C WITH 50 CYCLES.				
INDIRECT FOOD ADDITIVE	ALL COMPONENT MATERIALS MEET THE FDA INDIRECT FOOD ADDITIVE REQUIREMENTS CITED IN 21 CFR 177–182.				
QUALITY ASSURANCE	THESE PRODUCTS ARE MANUFACTURED IN A FACILITY WHICH ADHERES TO ISO 9001:2015 PRACTICES.				



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SPECIFICATIONS					
FILTER	PF-PP-K 5.0 μm PF-PP-K 10.0 μ				
LENGTH	254.0 MM	(10 INCH)			
DIAMETER	71.0 MM	(2.7 INCH)			
MATERIALS OF CONSTRUCTION					
FILTER MEMBRANE	POLYPROP	YLENE (PP)			
SUPPORTS	POLYPRO	OPYLENE			
CORE, CAGE, END CAPS	POLYPRO	OPYLENE			
ADAPTER INTERNAL SUPPORT	STAINLESS ST	EEL 316L, PBT			
0-RINGS	SILICONE, EPDM, F	LUOROELASTOMER			
PORE SIZE	5.0 μm	10.0 μm			
EFFECTIVE FILTRATION AREA	0.24 M² (2.6 FT²)	0.24 M² (2.6 FT²)			
MAXIMUM OPERATING PRES- SURE	• • • • • • • • • • • • • • • • • • • •	PSI) AT 25 °C PSI) AT 80 °C			
MAXIMUM DIFFERENTIAL PRESSURE					
FORWARD		PSI) AT 25 °C PSI) AT 80 °C			
REVERSE		PSI) AT 25 °C PSI) AT 80 °C			
STERILIZATION					
STEAM IN PLACE	CAN BE STEAM STERILIZED 20 CYCLES FOR 30 MINUTES AT 125 °C (< 0.3 BAR, 5 PSI).				
HOT WATER SANITIZATION	CAN BE HOT WATER SANITIZED FOR 30 MINUTES AT 85 °C WITH 50 CYCLES.				
INDIRECT FOOD ADDITIVE	ALL COMPONENT MATERIALS MEET THE FDA INDIRECT FOOD ADDITIVE REQUIREMENTS CITED IN 21 CFR 177–182.				
QUALITY ASSURANCE	THESE PRODUCTS ARE MANUFACTURED IN A FACILITY WHICH ADHERES TO ISO 9001:2015 PRACTICES.				



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SPECIFICATIONS					
FILTER	PF-PP-K 20.0 μm	PF-PP-K 40.0 μm			
LENGTH	254.0 MM	(10 INCH)			
DIAMETER	71.0 MM	[2.7 INCH]			
MATERIALS OF CONSTRUCTION					
FILTER MEMBRANE	POLYPROP	YLENE (PP)			
SUPPORTS	POLYPRO	DPYLENE			
CORE, CAGE, END CAPS	POLYPRO	DPYLENE			
ADAPTER INTERNAL SUPPORT	STAINLESS ST	EEL 316L, PBT			
0-RINGS	SILICONE, EPDM, FLUOROELASTOMER				
PORE SIZE	20.0 μm	40.0 μm			
EFFECTIVE FILTRATION AREA	0.26 M² (2.8 FT²)	0.26 M² (2.8 FT²)			
MAXIMUM OPERATING PRES- SURE		PSI) AT 25 °C PSI) AT 80 °C			
MAXIMUM DIFFERENTIAL PRESSURE					
FORWARD		PSI) AT 25 °C PSI) AT 80 °C			
REVERSE		PSI) AT 25 °C PSI) AT 80 °C			
STERILIZATION					
STEAM IN PLACE	CAN BE STEAM STERILIZED 20 CYCLES FOR 30 MINUTES AT 125 °C (< 0.3 BAR, 5 PSI).				
HOT WATER SANITIZATION	CAN BE HOT WATER SANITIZED FOR 30 MINUTES AT 85 °C WITH 50 CYCLES.				
INDIRECT FOOD ADDITIVE	ALL COMPONENT MATERIALS MEET THE FDA INDIRECT FOOD ADDITIVE REQUIREMENTS CITED IN 21 CFR 177–182.				
QUALITY ASSURANCE	THESE PRODUCTS ARE MANUFACTURED IN A FACILITY WHICH ADHERES TO ISO 9001:2015 PRACTICES.				



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SPECIFICATIONS				
FILTER	PF-PP-K 70.0 μm			
LENGTH	254.0 MM (10 INCH)			
DIAMETER	71.0 MM (2.7 INCH)			
MATERIALS OF CONSTRUCTION				
FILTER MEMBRANE	POLYPROPYLENE (PP)			
SUPPORTS	POLYPROPYLENE			
CORE, CAGE, END CAPS	POLYPROPYLENE			
ADAPTER INTERNAL SUPPORT	STAINLESS STEEL 316L, PBT			
0-RINGS	SILICONE, EPDM, FLUOROELASTOMER			
PORE SIZE	70.0 µm			
EFFECTIVE FILTRATION AREA	0.26 M² (2.8 FT²)			
MAXIMUM OPERATING PRES- SURE	6.9 BAR (100 PSI) AT 25 °C 2.4 BAR (35 PSI) AT 80 °C			
MAXIMUM DIFFERENTIAL PRESSURE				
FORWARD	6.9 BAR (100 PSI) AT 25 °C 2.4 BAR (35 PSI) AT 80 °C			
REVERSE	3.0 BAR (44 PSI) AT 25 °C 1.0 BAR (15 PSI) AT 80 °C			
STERILIZATION				
STEAM IN PLACE	CAN BE STEAM STERILIZED 20 CYCLES FOR 30 MINUTES AT 125 °C (< 0.3 BAR, 5 PSI).			
HOT WATER SANITIZATION	CAN BE HOT WATER SANITIZED FOR 30 MINUTES AT 85 °C WITH 50 CYCLES.			
INDIRECT FOOD ADDITIVE	ALL COMPONENT MATERIALS MEET THE FDA INDIRECT FOOD ADDITIVE REQUIREMENTS CITED IN 21 CFR 177–182.			
QUALITY ASSURANCE	THESE PRODUCTS ARE MANUFACTURED IN A FACILITY WHICH ADHERES TO ISO 9001:2015 PRACTICES.			



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# PERFORMANCE VERIFICATION

### 1. Particle Retention

### **Summary of Method**

Ultrafilter PF-PES 0.2  $\mu$ m cartridge filters were wetted with water and steamed at 135 °C for 30 minutes prior to testing. The cartridge filters were subjected to integrity test and then challenged with Brevundimonas diminuta (ATCC® 19146) of the challenge level over 1×107 cfu/cm2 (EFA) in accordance with ASTM F838 at a pressure of 2 bar.

After the bacteria challenge test, the control analysis filter and challenge analysis filters are incubated on Tryptic Soy Agar (TSA) plates at 30 °C to determine if there are any challenge bacteria passing through the tested filter. The analysis is conducted according to ASTM methodology.

## **Partical Retention Specifications**

	RETENTION RATES OF EACH PARTICAL SIZE (%)						
FILTER TYPE	1.0 µm	2.0 µm	5.0 µm	10.0 µm	20.0 μm	40.0 μm	70.0 μm
PF-PP-K 0.2 μm	≥ 99.90	≥ 99.90	≥ 99.90	≥ 99.99	≥ 99.99	≥ 99.99	≥ 99.99
PF-PP-K 0.5 μm	≥ 99.00	≥ 99.50	≥ 99.90	≥ 99.90	≥ 99.99	≥ 99.99	≥ 99.99
PF-PP-K 1.0 μm	≥ 98.00	≥ 99.00	≥ 99.50	≥ 99.90	≥ 99.98	≥ 99.99	≥ 99.99
PF-PP-K 2.0 μm		≥ 98.00	≥ 99.00	≥ 99.50	≥ 99.90	≥ 99.98	≥ 99.99
PF-PP-K 5.0 μm			≥ 98.00	≥ 99.00	≥ 99.50	≥ 99.90	≥ 99.98
PF-PP-K 10.0 μm				≥ 98.00	≥ 99.00	≥ 99.50	≥ 99.90
PF-PP-K 20.0 μm					≥ 98.00	≥ 99.00	≥ 99.50
PF-PP-K 40.0 μm						≥ 98.00	≥ 99.00
PF-PP-K 70.0 μm							≥ 98.00











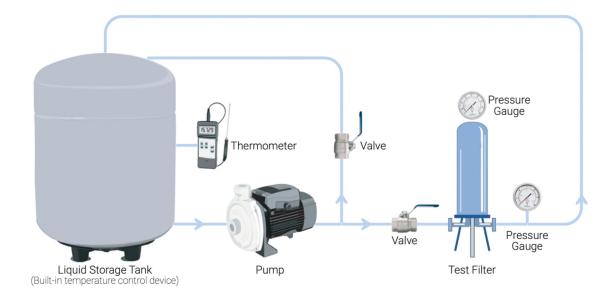


# 2. Hydraulic Stress

The maximum operating pressure and maximum differential pressure of the filter element at a specific temperature depend on its pressure resistance. The hydraulic stress test is to confirm the pressure resistance of the filter at a specific temperature under the worst-case simulated conditions.

The mechanical and structural features determine the high risk of reverse-use of the filter causing structural damage. It is not recommended to use the filter in reverse direction in the actual production process. The reverse test conditions in this validation test are only the worst-case simulation of the instantaneous reverse pressure difference that may occur in the actual production process, and cannot be used as the basis for the reverse use of the filter.

## **Hydraulic Stress Test Schematic**

















# 2.1. Hydraulic Stress Test at 25 °C

## **Summary of Method**

Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filters were steamed at  $125~^{\circ}C$  for 30~minutes prior to testing and then integrity tested.

The filters were plugged with test dust to increase the differential pressure across the upstream and downstream of the filter. A hydraulic stress of 6.9 bar was applied to the filters at 25 °C for 30 minutes in the forward direction with 10 cycles. Then a differential pressure of 3.0 bar was applied to the filters at 25 °C for 10 minutes in the reverse direction with 3 cycles.

The filter integrity test was carried out to find any changes during the hydraulic stress test.

## Ultrafilter PF-PP-K 0.5 µm cartridge filter

	FILTER INTEGRITY			
LOT NUMBER	PRIOR TO HYDRAULIC STRESS	POST HYDRAULIC STRESS		
YPG1109C21	Integral	Integral		
YPG1539C21	Integral	Integral		
YPV3069C21	Integral	Integral		

#### Conclusion

Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filters maintain integrity after a series of hydraulic stress tests at  $25~^{\circ}C$  described above.











# 2.2. Hydraulic Stress Test at 80 °C

# **Summary of Method**

Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filters were steamed at  $125~^{\circ}C$  for 30~minutes prior to testing and then integrity tested.

The filters were plugged with test dust to increase the differential pressure across the upstream and downstream of the filter. A hydraulic stress of 2.4 bar was applied to the filters at 80 °C for 30 minutes in the forward direction with 4 cycles. Then a differential pressure of 1.0 bar was applied to the filters at 80 °C for 10 minutes in the reverse direction with 3 cycles.

The filter integrity test was carried out to find any changes during the hydraulic stress test.

## Ultrafilter PF-PP-K 0.5 µm cartridge filter

	FILTER INTEGRITY			
LOT NUMBER	PRIOR TO HYDRAULIC STRESS	POST HYDRAULIC STRESS		
YPG1109C21	Integral	Integral		
YPG1539C21	Integral	Integral		
YPV3069C21	Integral	Integral		

### **Conclusion**

Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filters maintain integrity after a series of hydraulic stress tests at 80 °C described above.







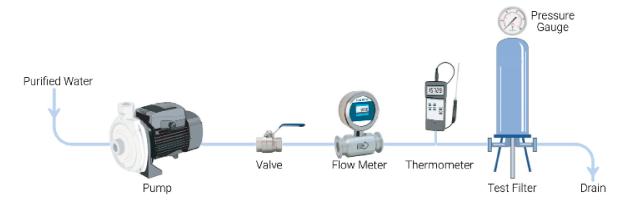




### 3. Water Flow Rate

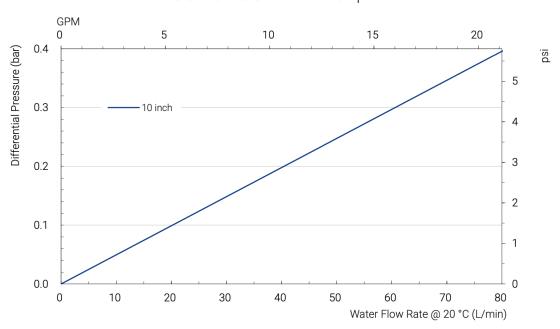
Ultrafilter PF-PPK series cartridge filters were steamed at 125 °C for 30 minutes prior to testing and then integrity tested. The required differential pressure for the test is acquired by adjusting the inlet valve of the filter. After achieving a constant differential pressure, the flow rate and water temperature were recorded. All the results of filters were corrected to a water temperature of 20 °C.

#### **Water Flow Rate Test Schematic**



### Results

#### Water Flow Rate — PF-PP-K 0.2 µm





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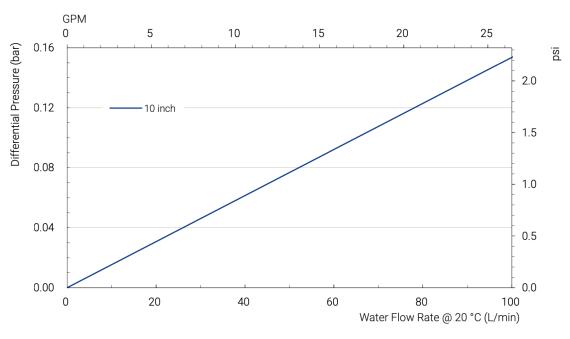




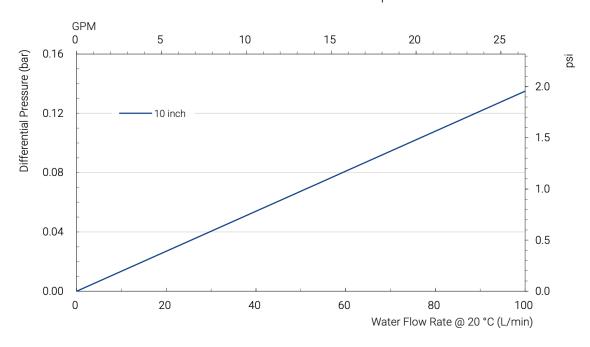




Water Flow Rate — PF-PP-K 0.5 µm



Water Flow Rate — PF-PP-K 1.0 µm





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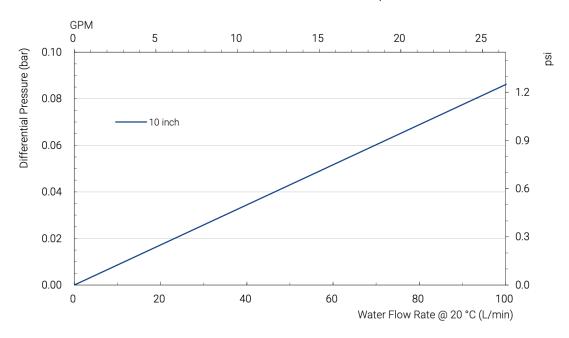




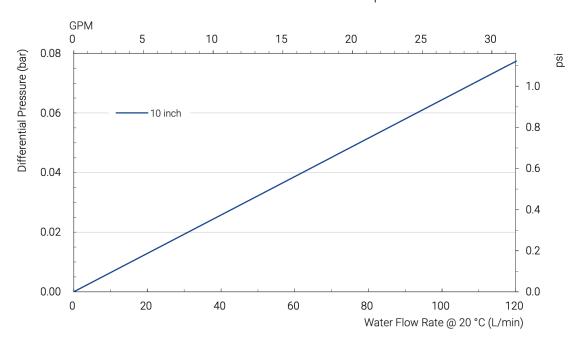




## Water Flow Rate — PF-PP-K 2.0 µm



Water Flow Rate — PF-PP-K 5.0 µm





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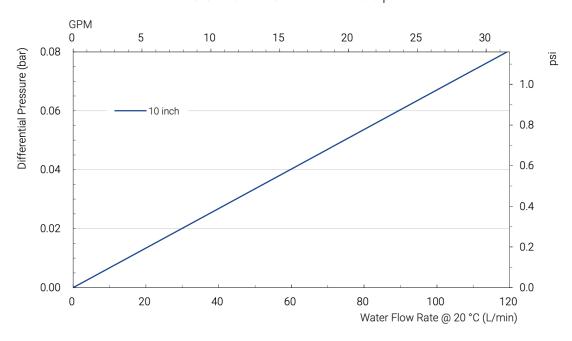




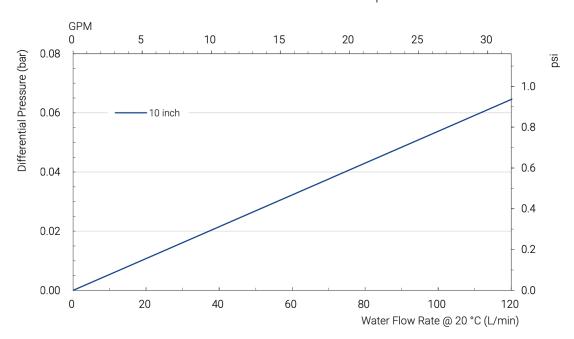




Water Flow Rate — PF-PP-K 10.0 µm



Water Flow Rate — PF-PP-K 20.0 µm





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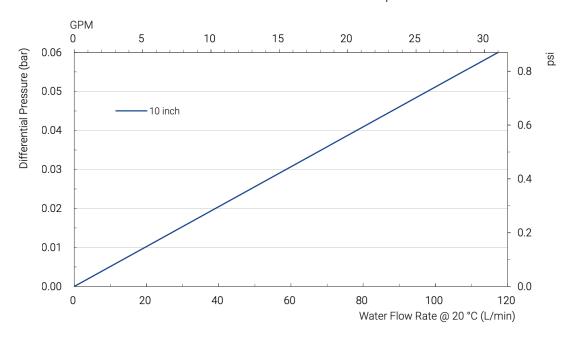




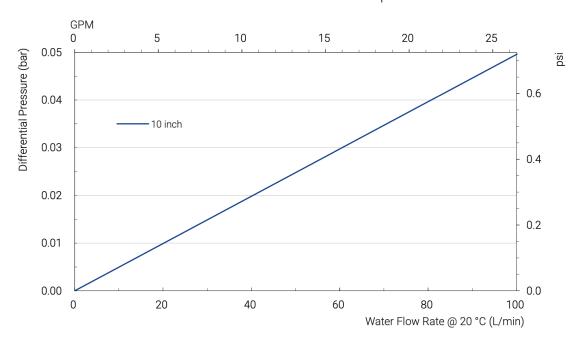




Water Flow Rate — PF-PP-K 40.0 µm



Water Flow Rate — PF-PP-K 70.0 µm





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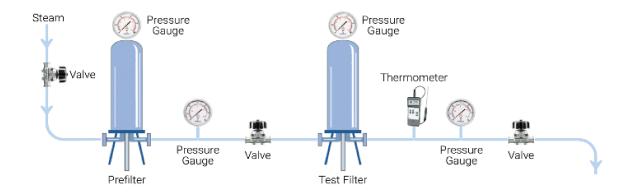
# Sterilization and Sanitization

#### 1. Thermal Resistance

Ultrafilter PF-PP-K series cartridge filters can be steamed in place (SIP) with many times. Since multiple sterilization cycles may be required in actual use, the influences of the sterilization on the performances of Ultrafilter PF-PP-K series cartridge filters were examined. As a result, recommendations and limits for multiple sterilization are given below.

Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filters were integrity tested prior to testing. The filters were steam sterilized at  $125~^{\circ}C$  for 30 minutes in the forward direction with 20 cycles under a differential pressure of less than 0.3~bar. The filters were validated by integrity test to analyze and evaluate the influences of multiple sterilization.

#### Schematic of Steam-in-Place



#### Ultrafilter PF-PP-K 0.5 µm cartridge filter

	FILTER INTEGRITY		
LOT NUMBER	PRIOR TO STERILIZATION	AFTER 20 SIP CYCLES	
YPG1109C21	Integral	Integral	
YPG1539C21	Integral	Integral	
YPV3069C21	Integral	Integral	

#### Conclusion

The results indicate that the integrity of the Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filter is not affected by the recommended sterilization methods.



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#### 2. Hot Water Sanitization

Ultrafilter PF-PP-K series cartridge filters can be hot water sanitized. Since multiple sanitization cycles may be required in actual use, the influences of the sanitization on the performances of Ultrafilter PF-PP-K series cartridge filters were examined. As a result, recommendations and limits for multiple sanitization are given below.

Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filters were integrity tested prior to testing. The cartridge filters were hot water sanitized 50 cycles at 90 °C for 30 minutes at a flow rate of 800 L/h/m2. The filters were validated by integrity test to analyze and evaluate the influences of multiple sanitization.

## Ultrafilter PF-PP-K 0.5 µm cartridge filter

	FILTER INTEGRITY			
LOT NUMBER	PRIOR TO SANITIZATION	AFTER 50 HOT WATER SANITIZATION CYCLES		
YPG1109C21	Integral	Integral		
YPG1539C21	Integral	Integral		
YPV3069C21	Integral	Integral		

## **Conclusion**

The results indicate that the integrity test of the Ultrafilter PF-PP-K  $0.5~\mu m$  cartridge filter is not affected by the recommended sanitization methods.











# FDA 21 CFR INDIRECT FOOD ADDITIVE

The raw materials used in manufacture Ultrafilter PF-PP-K series cartridge filters were confirmed to be fully compliant with the Federal Food, Drug and Cosmetic Act and applicable food additive regulations.

#### **RESULTS**

COMPONENT	MATERIALS	FDA 21 CFR REFERENCE
Membranes	Polypropylene	177.1520
Supports	Polypropylene	177.1520
Core, cage, end caps	Polypropylene	177.1520
0-rings	Silicone	177.2600
0-rings	EPDM	177.2600
0-rings	Fluoroelastomer	177.1550

















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